

STATE AGENCY INTERNAL AUDIT FORUM

MEETING MINUTES

Friday, February 21, 2020

9:00 a.m. - 11:00 a.m.

Winters Building

701 W 51st St, Fourth Floor, Room 460W

Austin, Texas 78751

9:10 a.m. – MEETING CALLED TO ORDER

Welcome & Introductions:

- Chance Watson, SAIAF Chair, welcomed attendees
- Attendee introductions

Minutes from November 15, 2019 meeting for approval:

- No changes offered by attendees
- Vote by Acclamation = unanimous AYE, zero NAY, zero ABSTAIN
- RESULT = ACCEPTED

State Auditor's Office - Jo Dale Guzman

- Jo Dale is working on the conference and hopes to post information by mid-March. She will send an email to the group when the information is posted.
- The conference will be held at the Commons Center on August 5 - 6, 2020.
- The cost will be in the \$179 - \$229 range.

IT - Zoi Kondis

- A brief discussion of third party service providers and audit documentation/reports was held. A more detailed discussion will be held in a future meeting.
- Chance will send an email regarding this topic.

Galvanize (ACL) Presentation (HighBond)

Murat Tirmandi and Troy LeMoigne

- On the DIR contract.
- There are four building blocks: Regulatory obligations; Enterprise Risk Management; the organization's master risk and controls library; and automation and workflow tools.
- Users can automate the administrative burden and also schedule and manage analytics from the cloud.
- A form based approach is used. All fields are reportable and users can consolidate information into a dashboard.
- Users can share the dashboard and also apply filters and look at a specific project from the dashboard.
- They are a SaaS company so everything is in the cloud.
- There is generally an annual platform charge and a per user subscription charge.

Penetration Test Training - Terry Follmer, Vice President of Internal Audit, Capital Metro

- Terry has been active with the Department of Homeland Security. The Cyber Infrastructure Security Agency will perform free penetration tests but there is a significant backlog.
- Because of the backlog, the Cyber Infrastructure Security Agency is training teams of 4 - 5 people to do penetration tests. If they have interest from four teams in Austin, they will conduct a four day training seminar. Participants will receive a certificate when the training is complete and the training is free.
- Participants must commit to perform at least one IT penetration test per year.
- There is an option to do the training at other locations if we don't have enough participants in Austin.
- The Kali tool is used in the training. See Kali.org.
- The teams should be diverse and a space will be needed for the training.
- Chance will send out additional information from Terry.

SAC Committee Update - Chance Watson

- Work in Texas has been redone. Employers can now look at resumes of people applying for similar jobs.

Peer Review Committee - Craig Otto, TxDOT

- Craig discussed current point balances and the possibility of resetting them to zero. Dale Hernandez objected to resetting positive point balances to zero.
- Further discussion of the points system, the Peer Review Manual, and training will be held in an upcoming SAI AF meeting.

Open Comments

- The next SAI AF meeting will be in May 2020.

10:51 a.m. – MEETING ADJOURNED